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DATE: January 23, 2009

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TO: Examiner Thai Ba Trieu, Group Art Unit 3748

FAX NUMBER: 571-273-8300

COMPANY: U.S. Patent and Trademark Office

PHONE NUMBER:

FROM: Mark T. Stephenson

DIRECT DIAL: (317) 238-6274

FAX NUMBER: (317) 636-1507

RE: Paper Informing the Office of Previous Mailing of Correspondence -- Patent Application No. 10/723,172

to James A. McEwan

COMMENTS: I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office at 571-273-8300 on:

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Client Matter No.:

274 HOET-16

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KRIEG DEVAULT LLP 12800 N MERIDIAN STREET **SUITE 300 CARMEL, IN 46032** TELEPHONE-(317) 566-1110 FAX-(317) 636-1507

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit:

3748

Confirmation No.: 5747

Application No.:

10/723,172

Title:

TURBOCHARGER WITH

WASTEGATE

Inventor:

James A. McEwan

Filing Date:

26 November 2003

Atty. Docket No.:

HOET-16

Examiner:

Thai Ba Trieu

## Certificate Under 37 CFR 1.8(a)

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office at 571-273-8300 on:

(Signature)

Mark T. Stephenson

(Printed Name)

### PAPER INFORMING THE OFFICE OF PREVIOUS MAILING OF CORRESPONDENCE

Mail Stop: Appeal Brief-Patents

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Applicant has recently become aware that a correspondence dated June 28, 2008 was not placed in the official file of the above captioned application in the United States Patent and Trademark Office (hereinafter "PTO") and furthermore Applicant seeks to promptly and formally inform the PTO of the missing correspondence. Examiner Tha Ba Trieu briefly spoke with the undersigned on Wednesday, January 21, 2009 regarding the above captioned application after completing a discussion regarding an Office Action in a separate application. Examiner Trieu subsequently contacted Practitioner Jason Houdek and discussed in greater detail the above captioned case on Thursday, January 22, 2009. During the course of that conversation Practioner Houdek and Examiner Trieu discovered that a correspondence submitted by the undersigned and dated June 27, 2008, copies of which are present in Applicant's file, was apparently missing in the official records of the PTO.

The undersigned deposited correspondence addressed to the United States Patent and Trademark Office with the United States Postal Service on June 27, 2008 with sufficient postage as first class mail. The correspondence included: (1) a paper entitled "Miscellaneous Letter" recounting two phone conversations with Examiner Thai Ba Trieu regarding the above captioned application, the paper having a certificate of mailing signed by the undersigned and dated June 27, 2008; (2) a Transmittal Form, form PTO/SB/21, also having a certification of mailing signed by the undersigned and dated June 27, 2008; and (3) a stamped, return receipt post card addressed to Krieg DeVault LLP that including markings on its content side indicating the enclosure of the "Miscellaneous Letter", the Transmittal Form, and the postcard.

The undersigned submits herewith copies of the correspondence referred to above as deposited on June 27, 2008. The enclosed includes copies of: (1) the "Miscellaneous Letter"; (2) the Transmittal Form; and (3) the self-addressed, stamped postcard.

Applicant further submits herewith evidence that the correspondence referred to above was received by the PTO. Specifically, a copy of the returned postcard is enclosed bearing the stamp of the Office of Initial Patent Examination of the PTO on its content side and having a stamped date of June 30, 2008. The postcard also bears a stamp of the IP Docketing department at Krieg DeVault LLP on its address side and having a date of July 7, 2008 indicating the return of the postcard from the PTO.

It is believed that the submission of the above mentioned correspondence and the accompanying evidence is sufficient to establish the deposit of the original correspondence on June 27, 2008. The Examiner is invited to contact the undersigned should there be any further questions.

Respectfully submitted,

Mark T. Stephenson Reg. No. 60,530

Krieg DeVault LLP

One Indiana Square, Suite 2800 Indianapolis, Indiana 46204-2079

(317) 238-6274 (voice) (317) 636-1507 (facsimile)

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HOET-16/1455688

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Certificate Under 37 CFR 1.8(a) 3748 Art Unit: I hereby certify that this correspondence is Confirmation No.: 5747 being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to 10/723,172 Application No.: Mail Stop Appeal Brief-Patents, Commissioner for Patents, P.O. Box 1450, TURBOCHARGER WITH Title: Alexandria, VA 22313-1450 WASTEGATE James A. McEwan On 27 June 2008 Inventor: 26 November 2003 Filing Date: (Signature) HOET-16 Atty. Docket No.:

MISCELLANEOUS LETTER

Mark T. Stephenson (Printed Name)

Mail Stop: Appeal Brief-Patents Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Thai Ba Trieu

Sir:

Examiner:

Prosecution of 19 April 2007. In light of the Supplemental Examiner's Answer filed by Examiner Trieu on 9 April 2007, Applicant contacted Examiner Trieu on 30 October 2007 and 31 October 2007 to inquire as to the status of the present application. Examiner Trieu consulted with her supervisor and indicated that the case would not be withdrawn from appeal to allow claims 2-4, 11-13, and 14-20. She further stated that prosecution would not be reopened until an amendment to claim 1 was entered in the case, at which time Examiner Trieu would examine the claims anew, possibly search further and perhaps allow the case. Examiner Trieu indicated that a terminal disclaimer is still required.

Application No. 10/723,172 Miscellaneous Letter HOET-16 Page 1 of 2 HOET-16/1455688

In light for the foregoing, Applicant does not intend to file an amendment and will instead maintain the pending appeal.

Respectfully submitted,

Mark T. Stephenson, Reg. No. 60,530

Krieg DeVault LLP

One Indiana Square, Suite 2800 Indianapolis, IN 46204-2079 Telephone: (317) 238-6274

Fax: (317) 636-1507

JAN 23 2009

PTO/SB/21 (01-08)
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Application Number 10/732.172 Filing Date 26 November 2003 TRANSMITTAL First Named Inventor James A. McEwan FORM Art Unit Examiner Name Thai Ba Trieu (to be used for all correspondence after initial filing) Altomey Docket Number HOET-16 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Drawing(s) Fee Transmittal Form Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Petition Amendment/Reply Petition to Convert to a Proprietary Information Provisional Application After Final Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Identify Terminal Disclaimer **Extension of Time Request** Miscellaneous Letter; Return Receipt Request for Refund Express Abandonment Request Postcard CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Remarks Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Krieg DeVault LLP Signature Printed name Mark T. Stephenson Date Reg. No. 60,530 27 June 2008 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mall in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date 27 June 2008 Mark T. Stephenson Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Application No. 10 723 172  Attorney Docket No. Heet-16  Applicant: Ames A. Ms Elden  RECEIPT OF THE FOLLOWING IS HER	Initials/Date: MTS 0/27/08 PATENT  REBY ACKNOWLEDGED  ( ) Nonpublication Request
Transmittal Form (PTO Form PTO SBZ)  () Specification (# of Pgs. () Drawings (# of Sheets: () Fee Transmittal Form (PTO Form () Oath or Declaration () Assignment & Recordation Cover Sheet () 37 C.F.R. 3.73(b) Statement () Power of Attorney () Preliminary Amendment () Fee Payment (Amount: (Payment Method: () Response to Office Action (Final/Non-final) () Request for Extension of Time	() Other (X) Miscellaneous Letter (X) Return Receipt tostcared () ()



ATTN: IP DEPT.
ONE INDIANA SQUARE, SUITE 2800
INDIANAPOLIS, IN 46204-2079

Application No. 10/723, 172.  Attorney Dock p. Hoe 1-16  Applicant: Times A. Ms Ewan	Initials/Date: MTS 02708
RECEIPT OF THE FOLLOWING IS HE	REBY ACKNOWLEDGED
Transmittal Form (PTO Form PTO SB 21	( ) Nonpublication Request
( ) Specification (# of Pgs) ( ) Drawings (# of Sheets:)	(x) Miscellaneous Letter
( ) Fee Transmittal Form (PTO Form	(X) Return Receipt Postcared
( ) Oath or Declaration ( ) Assignment & Recordation Cover Sheet	OIPE
( ) 37 C.F.R. 3.73(b) Statement ( ) Power of Attorney	( JUN 9 0 2008 )
( ) Preliminary Amendment ( ) Fee Payment (Amount:)	
(Payment Method:  ( ) Response to Office Action (Final/Non-final)	MA TAMOEN WATER
( ) Request for Extension of Time	

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KRIEG DEVAULT LLP



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INDIANAPOLIS, IN 46204-2079

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